



**PATENT**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

*IT 10*

In re Application of:

Robert K. Rowe et al.

Serial No.: 09/832,534

Examiner: Unknown

**RECEIVED**

**APR 17 2002**

Filed: April 11, 2001

Group Art Unit: 2621

**Technology Center 2600**

For: **APPARATUS AND METHOD OF BIOMETRIC IDENTIFICATION OR  
VERIFICATION OF INDIVIDUALS USING OPTICAL SPECTROSCOPY**

Docket No.: 1023.1110102

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 9th day of April, 2002.

By

*David M. Crompton*  
David M. Crompton

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Robert K. Rowe et al.

By their attorney,

*David M. Crompton*

David M. Crompton, Reg. No. 36,772  
CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South, Suite 895  
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Facsimile: (612) 359-9349

Dated: 4/9/02



6P2621

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**TRANSMITTAL SHEET**

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Assistant Commissioner for Patents  
Washington, D.C. 20231

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We are transmitting herewith the attached:

☐ Amendment

☐ No additional fee required

☐ The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X9=	\$	X18=	\$
INDEPEN- DENT CLAIMS	-	=		X40=	\$	X80=	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+135=	\$	+270=	\$
TOTAL				\$		\$	

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.
- ☒ Other: SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT, FORM PTO-1449 AND CITED REFERENCES.
- ☐ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: \_\_\_\_\_

David M. Crompton

Reg. No. 36,772

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